

I,....., aged.....years have intention to open an account(s) for securities trading and/or transaction relating to securities business with **CGS-CIMB Securities (Thailand) Co., Ltd. "the Company"**.

I acknowledge the criterias, SEC and the Company used to specify the **Vulnerable Investors** as follows:

The Vulnerable Investors means the investor that the company shall contact and provide services with special care.

Criteria used to specify the Vulnerable Investor

1. An individual investor who is sixty years old up
 - has limited financial / investment knowledge, and
 - has investment experience less than three years, **OR**
2. Any person who has limited financial / investment knowledge or has no investment experience, **OR**
3. Any person who has restriction in communication or decision making or has health defect
 - has limited financial / investment knowledge, and
 - has investment experience less than three years

Note : Limited financial / investment knowledge means "Little" or "None"

In this regard, I have been clarified the risk disclosure for vulnerable investor by the company's Investment Consultant and I agree to accept all conditions as follows:

1. I acknowledge and have full understanding of the risks, return and effect of investment in Securities such as Equity, Bond, Derivatives including a complex and risky product which may be arisen from such securities investment mentioned above.
2. I acknowledge that the Securities such as Equity, Bond, Derivatives including a complex and risky product may have risk of price fluctuation drastically. By this, I shall be unable to sell securities as required either higher or lower price comparing to cost which may result in profits or losses from such investments.
3. I agree to bind myself in accordance with the terms and conditions of all relating agreement of the company in all respects.

IN WITNESS WHEREOF, I have subscribed my name hereunto.

Given on.....



Signed.....Client

(.....)

Signed.....Investment Consultant

(.....)

Signed.....Head Team/ Branch Manager

(.....)